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Section #



BOX MISSING PARTS

PATENT

Agent's Docket No. 12251-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
OUELLET, Luc et al.)
)
Serial No: **09/973,778**) Art Unit: **1731**
)
Filed: **11-October-2001**) Examiner:

For: **METHOD OF REDUCING STRESS-INDUCED MECHANICAL
PROBLEMS IN OPTICAL COMPONENTS**

January 31, 2002

Commissioner of Patent and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Submitted herewith are the following documents:

- Response to Notice to File Missing Parts (Page 3 in duplicate)
- Copy of Notice To File Missing Parts Under 37 CFR 1.53(b)
- Request for Extension of Time (1 month)
- Substitute drawings in compliance with 37 CFR 1.84
- Executed Declaration and Power of Attorney
- Checks in the amount of \$130.00 and \$110.00

Respectfully submitted,

BY: 

Richard J. Mitchell
Reg. No. 34,519
Agent of Record

MARKS & CLERK



**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
12251-US

In Re Application Of:

Luc OUELLET and Jonathan LACHANCE

Serial No.
09/973,778

Filing Date
11-October-2001

Examiner

Group Art Unit
1731

Invention:

METHOD OF REDUCING STRESS-INDUCED MECHANICAL PROBLEMS IN OPTICAL COMPONENTS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

11/14/2001

Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: **14-January-2002**
Date

until: **14-February-2002**
Date

Total time extension fees **\$110.00**

Total fees due **\$240.00**

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The fee of **\$240.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **13-1717**.
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **13-1717**.
A duplicate copy of this sheet is enclosed.


Signature

Richard J. Mitchell 34,519
MARKS & CLERK
P.O. Box 957
Station B
Ottawa, ON K1P 5S7
CANADA
Tel: (613) 236-9561

Dated: **1-February-2002**

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC:



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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,778	10/11/2001	Luc Ouellet	12251-US

CONFIRMATION NO. 7550

FORMALITIES LETTER



0C00000007067183

 23553
 MARKS AND CLERK
 P O BOX 957, STATION B
 55 METCALFE STREET, SUITE 1380
 OTTAWA, ON K1P5S7
 CANADA

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

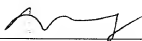
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*


 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

09/09/03 09:37:76

09/09/03 09:37:76



PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)
(Large Entity)

Docket No.
12251-US

In Re Application Of:

Luc OUELLET et al

Serial No.
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Filing Date
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Action of 11/14/01 in the above-identified application.
Date

The requested extension is as follows (check time period desired):

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: 14-January-2002 until: 14-February-2002
Date *Date*

The fee for the extension of time is \$110 and is to be paid as follows:

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Signature

Dated: 01-February-2002

Richard J. Mitchell 34,519
Marks & Clerk
P.O. Box 957
Station B
Ottawa, ON K1P 5S7
Canada
Tel: (613) 236-9561

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Typed or Printed Name of Person Mailing Correspondence

CC:



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